

Form **1042-S**

Foreign Person's U.S. Source Income Subject to Withholding

2018

OMB No. 1545-0096

Department of the Treasury
Internal Revenue Service

▶ Go to www.irs.gov/Form1042S for instructions and the latest information.

Copy C for Recipient

Attach to any Federal tax return you file

0010000610

UNIQUE FORM IDENTIFIER

AMENDED

AMENDMENT NO.

1 Income code 20	2 Gross income 5000	3 Chapter indicator. Enter "3" or "4" 3	13e Recipient's U.S. TIN, if any 636-29-3464	13f Ch. 3 status code 16
		3a Exemption code 04	4a Exemption code 16	13g Ch. 4 status code
		3b Tax rate 00.00	4b Tax rate 00.00	13h Recipient's GIIN
5 Withholding allowance			13i Recipient's foreign tax identification number, if any	
6 Net income			13j LOB code	
7a Federal tax withheld 0			13k Recipient's account number S01179519	
7b Check if federal tax withheld was not deposited with the IRS because escrow procedures were applied (see instructions) <input type="checkbox"/>			13l Recipient's date of birth (YYYYMMDD) 19891017	
8 Tax withheld by other agents 0			14a Primary Withholding Agent's Name (if applicable)	
9 Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions) (0)			14b Primary Withholding Agent's EIN	
10 Total withholding credit (combine boxes 7a, 8, and 9) 0			15 Check if pro-rata basis reporting <input type="checkbox"/>	
11 Tax paid by withholding agent (amounts not withheld) (see instructions) 0			15a Intermediary or flow-through entity's EIN, if any	15b Ch. 3 status code
12a Withholding agent's EIN 74-1109620	12b Ch. 3 status code 20	12c Ch. 4 status code 24	15c Ch. 4 status code	
12d Withholding agent's name WILLIAM MARSH RICE UNIVERSITY			15d Intermediary or flow-through entity's name	
12e Withholding agent's Global Intermediary Identification Number (GIIN)			15e Intermediary or flow-through entity's GIIN	
12f Country code	12g Foreign taxpayer identification number, if any		15f Country code	15g Foreign tax identification number, if any
12h Address (number and street) PAYROLL - MS 71 PO BOX 1892			15h Address (number and street)	
12i City or town, state or province, country, ZIP or foreign postal code HOUSTON, TX 77251-1892			15i City or town, state or province, country, ZIP or foreign postal code	
13a Recipient's name YANXIN LU		13b Recipient's country code CH	16a Payer's name	16b Payer's TIN
13c Address (number and street) 2-1-102 EAST 1ST PANYU OLYMPIC SHILIANG RD 9			16c Payer's GIIN	16d Ch. 3 status code
13d City or town, state or province, country, ZIP or foreign postal code GUANGZHOU, CHINA, PEOPLE'S REPUBLIC OF 511400			16e Ch. 4 status code	17a State income tax withheld 0
				17b Payer's state tax no.
				17c Name of state TX

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

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